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NOTICE OF ALLOWANCE AND FEE(S) DUE

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10/05/2005

NYDEGGER & ASSOCIATES 348 OLIVE STREET SAN DIEGO, CA 92103 EXAMINER
HOUSTON, ELIZABETH

PAPER NUMBER

HOOSTON, ELIZABETH

ART UNIT

DATE MAILED: 10/05/2005

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/618,987 | 07/14/2003 | Dennis O'Brien | 10745.148 | 5971 |

TITLE OF INVENTION: ANCHORED PTCA BALLOON

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | NO | \$1400 | \$300 | \$1700 | 01/05/2006 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571) 273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

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| CURRENT CORRESPONDE | NCE ADDRESS (Note: Use Block 1 for | any change of address) | | Note: A certificate of | mailing can only be used for is certificate cannot be used for | or domestic mailings of the |
| 23862 | 7590 10/05/2005 | | | papers. Each addition | al paper, such as an assignment of mailing or transmission. | ent or formal drawing, must |
| NYDEGGER & | | | | Ca | | mission |
| 348 OLIVE STRI | | | | I hereby certify that the | his Fee(s) Transmittal is being | g deposited with the United |
| SAN DIEGO, CA | | | • | addressed to the Ma | his Fee(s) Transmittal is being with sufficient postage for fir il Stop ISSUE FEE address PTO (571) 273-2885, on the d | above, or being facsimile |
| , | • | | | transmitted to the USI | PTO (571) 273-2885, on the d | (Depositor's name) |
| | | | | | | (Signature) |
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| 10/618,987 | 07/14/2003 | | Dennis C | | 10745.148 | 5971 |
| • | ANCHORED PTCA BALLO | ON: | Dennis | , 511011 | | |
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| Change of correspo | ondence address (or Change of /122) attached. | Correspondence | or agents C | OR, alternatively, | 2 | |
| "Fee Address" indic | cation (or "Fee Address" Indic | ation form | registered | ne of a single firm (having as attorney or agent) and the nar | mes of up to | |
| PTO/SB/47; Rev 03-02 Number is required. | 2 or more recent) attached. Us | e of a Customer | 2 registere listed, no n | d patent attorneys or agents. I hame will be printed. | f no name is 3 | |
| 3. ASSIGNEE NAME AN | ND RESIDENCE DATA TO E | E PRINTED ON T | HE PATENT | (print or type) | | |
| PLEASE NOTE: Unle | ess an assignee is identified b in 37 CFR 3.11. Completion | elow, no assignee of of this form is NOT | data will appe | ear on the patent. If an assig for filing an assignment. | mee is identified below, the o | locument has been filed for |
| (A) NAME OF ASSIG | | | | E: (CITY and STATE OR CC | | |
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| Please check the appropris | ate assignee category or category | ories (will not be pri | inted on the p | atent): 🔲 Individual 🔲 (| Corporation or other private gr | oup entity Government |
| 4a. The following fee(s) a | re enclosed: | 4b | . Payment of | | | , |
| ☐ Issue Fee | | A check in the amount of the fee(s) is enclosed. | | | | |
| Publication Fee (No small entity discount permitted) | | ☐ Payment by credit card. Form PTO-2038 is attached. ☐ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to | | | | |
| Advance Order - # | of Copies | | Deposit Acco | ount Number | charge the required fee(s), or (enclose an extra c | copy of this form). |
| | us (from status indicated abov | | | | | |
| a. Applicant claims | SMALL ENTITY status. See | 37 CFR 1.27. | | | ALL ENTITY status. See 37 C | |
| The Director of the USPT NOTE: The Issue Fee and interest as shown by the re | O is requested to apply the Iss I Publication Fee (if required) ecords of the United States Par | ue Fee and Publicat will not be accepted ent and Trademark | tion Fee (if and from anyone Office. | y) or to re-apply any previous other than the applicant; a re | sly paid issue fee to the applic gistered attorney or agent; or t | ation identified above. the assignee or other party in |
| Authorized Signature | | | | Date | | |
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| This collection of information | ntion is sequired by 27 CED 1 | III The information | n is required | | | |
| This collection of informa an application. Confident submitting the completed this form and/or suggestion Box 1450, Alexandria, V | ation is required by 37 CFR Liality is governed by 35 U.S.C application form to the USP ons for reducing this burden, sirginia 22313-1450. DO NOT | 11. The morniand L 122 and 37 CFR FO. Time will vary hould be sent to the SEND FEES OR (| 1.14. This col depending up e Chief Infort COMPLETEI | llection is estimated to take Izon the individual case. Any nation Officer, U.S. Patent and FORMS TO THIS ADDRE | 2 minutes to complete, includi comments on the amount of t d Trademark Office, U.S. De SS. SEND TO: Commissioner | ing gathering, preparing, and ime you require to complete partment of Commerce, P.O. for Patents, P.O. Box 1450, |
| Alexandria, virginia 223 | 13-143U. Huction Act of 1995, no nerson | | | | | |



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| 348 OLIVE STRE SAN DIEGO, CA | | | ART UNIT | PAPER NUMBER |
| 5.11.72.05 | | | 3731 | ". |

DATE MAILED: 10/05/2005

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 6 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 6 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571) 272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.